

*10/17/00*  
NO Number

T Express Mail Label No.  
EL199140410US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

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SEMICONDUCTOR PROCESSING SYSTEM  
WITH WAFER CONTAINER  
DOCKING AND LOADING STATION

\* \* \* \*

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ATTORNEY'S DOCKET NO. SE10-074

CROSS-REFERENCE TO RELATED APPLICATIONS

~~CONTINUED~~ *This is a continuation-in-part of copending U.S. Patent Application*

~~Serial No. 08/236,424, filed April 28, 1994.~~

TECHNICAL FIELD

This invention relates to automated semiconductor wafer processing systems for performing liquid and gaseous processing of wafers. Such systems can be used to process semiconductor wafers, data disks, semiconductor substrates and similar articles requiring very low contaminant levels.

BACKGROUND OF THE INVENTION

The processing of semiconductor wafers has become of great economic significance due to the large volume of integrated circuits, data disks, and similar articles being produced.

The size of features used in integrated circuits and data disks have decreased significantly, thus providing greater integration and greater capacity. This has been possible due to improved lithography techniques and improved processing.

The reduction in feature size has been limited by contamination. This is true because various contaminating particles, crystals, metals and organics lead to defects in the resulting products. The limitations on feature size caused by contaminants have prevented full utilization of the resolution capability of known lithography techniques. Thus there

1 remains an acute need for improved methods and systems for processing  
2 semiconductor wafers, data disks and similar articles requiring very low  
3 levels of contamination during processing.

5 BRIEF DESCRIPTION OF THE DRAWINGS

6 Preferred embodiments of the invention are described below with  
7 reference to the accompanying drawings, which are briefly described  
8 below.

9 Fig. 1 is a perspective view showing a preferred semiconductor  
10 wafer processing system according to this invention.

11 Fig. 2 is a perspective view showing top portions of a wafer  
12 holding tray used in the processing system of Fig. 1.

13 Fig. 3 is a perspective view showing bottom portions of a wafer  
14 holding tray used in the processing system of Fig. 1.

15 Fig. 4 is a perspective view showing the tray of Fig. 2 loaded  
16 with wafers.

17 Fig. 5 is a perspective view showing a prior art industry standard  
18 wafer carrier loaded with wafers. The wafer holding tray of Fig. 2 is  
19 positioned below the wafer carrier.

20 Fig. 6 is a perspective view showing portions of a wafer handling  
21 subsystem used in the processing system of Fig. 1.

22 Fig. 7 is a perspective view of the subsystem of Fig. 6 moved  
23 into an initial loading position with wafer carriers containing wafers  
24 loaded thereon.

Fig. 8 is a perspective view showing the subsystem of Fig. 6 moved into a further position wherein empty wafer trays are passing through a tray pass-through opening.

Fig. 9 is a perspective view showing the subsystem of Fig. 6 moved into a further position wherein the wafer trays have been elevated up through the wafer carriers to lift wafers from the carriers onto the trays.

Fig. 10 is a perspective view showing the subsystem of Fig. 6 moved into a still further position wherein the wafer trays with wafers are positioned upon an upper carriage.

Fig. 11 is a perspective view showing the subsystem of Fig. 6 with the upper carriage and supported wafers and wafer trays positioned for holding until subsequently processed in the system processing chambers.

Fig. 12 is a perspective view of the subsystem of Fig. 6 in a position similar to Fig. 7 with the emptied wafer carriers ready for removal and replacement by loaded wafer carriers so that a second group can be transferred in a process similar to that illustrated by Figs. 7-12.

Fig. 13 is a perspective view showing the wafer processing system of Fig. 1 with a robot conveyor loading a tray of wafers.

Fig. 14 is a perspective view similar to Fig. 13 with the robot conveyor relocated and preparing to install the tray wafers into ~~a~~ centrifugal processing module.

Fig. 15 is a perspective view similar to Fig. 14 with the robot extended into a loading position wherein the tray of wafers is installed in the centrifugal processing module.

Fig. 16 is a view showing mechanical arm portions of the robot conveyor shown in Fig. 1 extended into a laid-out position for purposes of illustration.

Fig. 17 is a view showing how the view shown in Fig. 16 is partitioned into the enlarged detail sectional views shown in Figs. 18-28.

Figs. 18-28 are enlarged detailed sectional views showing different portions of the mechanical arm of Fig. 16.

Fig. 29 is a top view showing a hand portion of the mechanical arm assembly with a tray of wafers loaded thereon.

Fig. 30 is a front view showing the hand portion of Fig. 29.

Fig. 31 is an isometric view of a preferred centrifugal processing rotor used in the centrifugal processing modules shown in Fig. 1.

Fig. 32 is a front view of the rotor shown in Fig. 24.

Fig. 33 is a front view of the rotor as shown in Fig. 32 with a wafer tray held within the rotor.

Fig. 34 is a schematic view showing functional blocks of the preferred control system used in the processor of Fig. 1.

Fig. 35 is a perspective view showing important components of the mechanical arm assembly shown in Fig. 13.

Fig. 36 is a front elevational view of an alternative processor according to this invention.

Fig. 37 is a side elevational view detailing an alternative processing station used in the processor of Fig. 36.

Fig. 38 is a top view detailing the alternative processing station shown in Fig. 37.

Fig. 39 is a rear elevational view detailing the alternative processing station shown in Fig. 37.

Fig. 40 is a perspective view showing an alternative loading subsystem in isolation.

Fig. 41 is a side view showing portions of a further embodiment of the invention which incorporates the alternative loading subsystem shown in Fig. 40. Fig. 41 shows the embodiment in a first position.

Fig. 42 is a side view showing the embodiment of Fig. 41 in a second position.

Fig. 43 is a side view similar to Fig. 41 with the embodiment in a third position.

Fig. 44 is a side view similar to Fig. 41 with the embodiment in a fourth position.

Fig. 45 is a side view similar to Fig. 41 with the embodiment in a fifth position.

Fig. 46 is a side view similar to Fig. 41 with the embodiment in a sixth position.

Fig. 47 is a side view similar to Fig. 41 with the embodiment in a seventh position.

Fig. 48 is a side view similar to Fig. 41 with the embodiment in an eighth position.

Fig. 49 is a side view similar to Fig. 41 with the embodiment in an ninth position.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

This disclosure of the invention is submitted in furtherance of the constitutional purposes of the U.S. Patent Laws "to promote the progress of science and useful arts" (Article 1, Section 8).

#### Processor Generally

Fig. 1 shows a preferred processing system 40 according to this invention. Processing system 40 includes a basic frame 41 which provides structural support for related components. Processor 40 has two fundamental sections, one of which is the interface section 43. The other fundamental section is the processing section 44.

The frame supports an enclosure envelope 45 which in Fig. 1 is shown partially removed adjacent the processing section for purposes of illustration. The enclosure envelope encloses a working space 46 within portions of processor 40. Wafers 50 are held and maneuvered within the enclosed working space. The wafers are moved between multiple processing stations 71-73 contained within the processing section 44. The working space can be supplied with a purge gas and operated at

either slightly elevated or slightly reduced pressures relative to ambient atmospheric pressure.

The upper portions of processor 40 are provided with an interface filter section 38 and a processing filter section 39. These filter sections preferably employ HEPA type ultrafiltration filters. Air moving equipment forces air through the filters and downwardly into the working space to move contaminants downwardly and out through the back side of the processor.

The multi-station processor 40 also preferably has a process station maintenance section 53 which is separated from the work space 26 by portions of the enclosure envelope 45. Processor 40 also preferably has an instrumentation and control section 54 mounted rearwardly from the interface section 43. Control section 54 preferably includes various control equipment used in processor 40.

Maintenance section 53 and control section 54 are of potentially higher contamination levels due to the presence of various equipment components associated with the processing stations. The processor 40 is advantageously mounted in a wafer fabrication facility with clean room access to the front of the processor along front panel 48. The maintenance and control sections are preferably accessed from the rear of processor 40 through a gray room adjacent the clean room. Such gray rooms have fewer precautions against contamination than the clean room. This configuration reduces plant costs while allowing access to portions of the processor more typically needing maintenance.

1       The front of processor 40 includes a front control panel 57  
2       allowing operator control from the clean room. Control panel 57 is  
3       advantageously a touch screen cathode ray tube control display allowing  
4       finger contact to the display screen to effect various control functions.  
5       Control section 54 also preferably includes a secondary control panel  
6       (shown schematically in Fig. 34) which faces rearwardly into the gray  
7       room so that operation can be affected from either front or back of  
8       the machine. All control functions and options are displayed upon the  
9       control panels to effect operation and set up of the processor.

10       As shown, wafers 50 are supplied to and removed from the  
11      enclosed work space 46 of processor 40 using interface section 43.  
12      Wafers are supplied to the interface section in industry standard wafer  
13      carriers 51 (detailed in Fig. 5). The wafer carriers are preferably  
14      supplied in groups, such as a group of four carriers. The groups are  
15      placed upon a cantilevered shelf 101 forming a part of a first carriage  
16      100. Shelf 101 extends through an interface port 56 which ~~is~~  
17      controllably opened and closed using a interface port door 59.  
18      Adjacent the interface port and control panel is a view window 58  
19      through which a human operator can see operation of processor 40.  
20      Fig. 1 shows two wafer carriers 51 positioned upon the cantilevered  
21      shelf 101. There are two additional positions available for two  
22      additional carriers which are left unloaded in Fig. 1.

23

24

Wafer Tray

Refer to Figs. 2 and 3 which show the novel wafer tray 60 in greater detail. Wafer tray 60 includes an upper surface 61 and a lower surface 62. The tray also has a first end 63 and a second end 64. Sides 65 extend between the first and second ends. Additional features of the tray surfaces will now be more fully detailed.

Upper surface 61 has a series of wafer tray receivers 66. Wafer tray receivers 66 each comprise a semicircular groove or channel having downwardly converging receiver sides 67. The converging receiver sides 67 adjoin to a receiver bottom section 68 which is a relatively narrow slot having substantially parallel slot walls. The slot section is sized to provide a width about 0-10% greater than the thickness of the wafers which are being received therein. The receiver bottom or slot section has bottom surfaces 69. The lower portions of the slot sections 68 are formed so as to be intermittently closed at slot bottom surfaces 69 and open along receiver drain apertures 70 (Fig. 3). The slot bottom surfaces 69 exist along longitudinal foundation bars 75 and side rail portions 76. The particular number of wafer tray receivers 66 in any particular tray 60 is variable. Typically, there will be 25 or 50 wafer receivers in order to correspond with the capacity of associated wafer carriers 51 being used in other parts of the fabrication plant.

The upper surfaces of wafer tray 60 also preferably include side land portions 79. The side land portions are formed to reduce overall height of the tray while maintaining the general semicircular receiver

1 shape. The overall width of tray 60 is appropriately sized so that more  
2 than approximately 50° of arc are seated, more preferably approximately  
3 60-80° of arc are encompassed for seating the wafers in receivers 66.  
4 Even more preferably the arc of the receiving channels is approximately  
5 65°.

6 The wafer tray ends 63 and 64 are preferably planar and  
7 perpendicular relative to a longitudinal axis 80 (Fig. 4) which extends  
8 perpendicular to the receiving grooves along the center point of the  
9 receiving groove arcs defined by bottom surfaces 69. Longitudinal axis  
10 80 also coincides with the centers of the wafers 50 supported on the  
11 wafer tray. Tray ends 63 and 64 are advantageously provided with  
12 apertures 88 for receiving a tool therein to allow handling of the trays  
13 with minimum contact, such as during cleaning.

14 Wafer tray 60 has side rails 76 which extend along both sides.  
15 The side rails have outer side surfaces 65 which are advantageously  
16 formed to provide tray support features 80. As shown, tray support  
17 features 80 include a tray side channel 81. Tray side channel 81 has  
18 a downward facing bearing surface 82 which bears upon supporting tools  
19 and equipment as explained more fully hereinbelow. Adjacent to  
20 surface 82, is an outwardly facing channel base surface 83. Bearing  
21 surface 82 is preferably constructed to form an included angle of  
22 approximately 120° of arc relative to the channel base 83. Channel 81  
23 further includes an upwardly facing third surface 84 which serves to  
24 complete the channel shape of the tray support features and provides

1      increased structural engagement between the wafer tray and equipment  
2      which engages the tray using the tray side channels 81.

3      The lower surface 62 of tray 60 is preferably formed with a  
4      downwardly facing contact or foot surface 86. As shown, foot surface  
5      86 defines a footprint with five longitudinal segments associated with  
6      side rails 76, longitudinal bars 75, and end panels 63 and 64. The  
7      lower surface of the tray also is preferably constructed to have  
8      longitudinal base recesses 77 between bars 75 and side rails 76.  
9      Processing fluids drain from the wafers 50 and wafer tray 60 through  
10     the receiving slot openings 70 and base recesses 77.

11     The novel wafer trays 60 provide improved processing of wafers  
12    in processor 40. The improvements include improved access of  
13    processing fluids to the surfaces of wafers 50. The improved access of  
14    processing fluids occurs because there is less coverage of the wafers as  
15    compared to prior art carriers 51. Only relatively small marginal edge  
16    portions along the arc of the receivers are covered. Thus allowing  
17    almost full access to the faces of the wafers by processing fluids. The  
18    improved access to processing fluids in turn results in reduced  
19    processing times and greater uniformity and effectiveness of the  
20    processes upon the surfaces being treated. Wafer tray 60 also results  
21    in a small combined size of the wafer batch within processor 40. This  
22    translates into a much smaller overall size of processor 40 and reduced  
23    floor space requirements in clean rooms and adjacent gray rooms.  
24    Since the cost of floor space in these facilities is very high, the

1      installed cost of the processing system 40 is kept relatively lower.  
2      These factors all attribute to better yields, improved quality and reduced  
3      costs of production.

4

5      **Standard Wafer Carrier**

6      Processor 40 is designed to work in conjunction with a standard  
7      industry wafer carrier which is illustrated in Fig. 5. Such carriers are  
8      available from a number of supplying manufacturers. Carrier 51 has a  
9      holding trough 34 with a series of edge receiving receptacles 35 along  
10     side walls 36. End walls 37 are typically provided with handles 38.  
11     The bottom of carrier 51 is provided with a bottom opening (not  
12     shown) which is rectangular and defined between base rails 39. Fig. 5  
13     shows a wafer tray 60 positioned beneath wafer carrier 51 aligned to  
14     pass up through the bottom opening of the carrier. Wafer tray 60 is  
15     sized to pass through the bottom opening.

16

17      **Interface Section**

18      The interface section 43 takes the wafers from the wafer carriers  
19      and installs them onto the specially constructed wafer trays 60. The  
20     wafer trays provide improved processing of wafers 50. The interface  
21     section also preferably provides a holding or inventorying capability for  
22     both wafers awaiting processing and wafers which have been processed.  
23     Thus the interface section constructed as shown in Fig. 1 functions as  
24

both an input subassembly, output subassembly and wafer holding station.

Interface 43 is substantially enclosed by the enclosure envelope 45. Interface 43 has open work spare portions connected to the portions of work space 46 contained within the processing section 44. The interface includes a interface port 56 formed through envelope 45. Interface port 56 allows wafers to be loaded into and removed from processor 40. Interface port 56 is preferably provided with an interface port closure in the form of a movable door 59. Movable door 59 is powered and extends upwardly from below to close the port and is retracted downwardly to open the port. This construction allows the interface port door to be automatically controlled to the extent desired.

Figs. 6-12 show the principal operational portions of interface 43. These portions serve to provide a wafer transfer which transfers wafers from the industry standard wafer carriers 51 and installs the wafers onto the novel wafer trays 60. Additionally, interface 43 serves to hold wafer batches loaded onto the trays. These loaded tray batches are held for processing in the processor. Still further interface 43 allows for the storage of unloaded wafer trays. As shown, interface 43 also performs loading and unloading operations through interface port 56.

Fig. 6 shows that the preferred interface 43 has a base 99 which is secured to frame 41. A first or lower carriage 100 is mounted for movements, such as the preferred horizontal movement. A second or

1 upper carriage 102 is also mounted for horizontal movement. Interface  
2 43 also has four elevators 104 which provide vertical movement.

3 Base 99 in some respects acts as an extension of frame 41 and  
4 further serves to separate the interface section compartment into an  
5 interface section portion of working space 46 and a mechanical  
6 compartment 98 (Fig. 1) which is below and subjacent to the working  
7 space and base 99. As shown, base 99 is provided with four elevator  
8 openings 102 which serve as apertures through which elevators 104  
9 extend.

10 Base 99 also is provided with first carriage travel openings or  
11 clefts 106. Clefts 106 receive portions of a first carriage support  
12 pedestal 107 which extend downwardly from the first carriage beneath  
13 base 99. The pedestal extends down to a first carriage support track  
14 (not shown) which is below base 99 in the mechanical compartment 98.  
15 Pedestal 107 is connected to a first carriage operator (not shown) which  
16 is advantageously in the form of a rotatable linear screw drive operator  
17 similar to the operator described below in connection with second  
18 carriage 102.

19 Fig. 6 also shows that interface 43 includes two carriages 100 and  
20 102 which are movable relative to elevators 104. Carriages 100 and  
21 102 are preferably mounted for simple linear motion relative to the  
22 elevators. However, alternative configurations and movement patterns  
23 may be possible. Carriages 100 and 102 are independently operable or

otherwise controllable to allow different relative horizontal positions and movements of the first and second carriages.

As shown, first carriage 100 is positioned above base 99 and below the second carriage 102. This preferred configuration results in the first carriage functioning as a lower carriage, and the second carriage functioning as an upper carriage. Elevators 104 serve to move wafer batches between a first or upper carriage level associated with the first carriage and a second or lower carriage level associated with the second carriage.

First carriage 100 includes an outer or forward portion forming a first section 111 of the carriage. This outward section is in the form of a cantilevered shelf or carrier support projection 101. Carrier support projection 101 serves to support wafer carriers 51 thereon. First carriage 100 is laterally movable to extend the carrier projection or overhang through interface port 56 into the fully extended first carriage receiving position illustrated in Fig. 1. The overhanging carriage shelf 101 is provided with carrier support features which are advantageously in the form of carriage support ledges 109. The carrier support ledges are preferably recessed areas formed in the upper surface of shelf 101. The carrier support features are advantageously constructed to provide lateral support against unintended horizontal displacement in either X or Y directions (see Fig. 1). The carrier support features also hold the carriers to prevent downward movement

from a desired vertical or Z position, but allow vertical movement above the shelf for easy installation and removal of the wafer carriers.

The carrier support ledges 109 or other carrier support features are preferably positioned adjacent or about first carriage transfer openings 110. The support ledges are most preferably peripheral recessed areas about the opening 110. Openings 110 are provided to allow extension of the elevators 104 therethrough. Extension of the elevators through openings 110 is used in conjunction with the transfer of wafers between the wafer carriers 51 and wafer trays 60 in either incoming or outgoing directions.

First carriage 100 also preferably includes a second or central section 112 which includes a group of four first carriage pass-through openings 113. Pass-through openings 113 extend through the deck of the first carriage to allow extension of the elevators therethrough. Pass-through openings 113 also allow unloaded wafer trays 60 to be passed upwardly and downwardly through the first carriage deck in a manner as explained more fully below.

First carriage 100 is further provided with a third or rearward section 113. Rearward section 113 includes an empty or unloaded wafer tray magazine or storage 115. The empty wafer tray storage is advantageously in the form of four arrays each having three receptacles to receive three wafer trays therein. The receptacles each include shoulder pairs which function as rests upon which the side rails 76 of the wafer trays rest. The shoulder pairs are along arranged along

1 opposing sides of an empty tray gallery 116 which is common to all  
2 three receptacles of a single storage array 115. Galleries 116 allow the  
3 heads of the elevators to extend upwardly to engage empty wafer trays  
4 and lift them for removal from the storage array. The empty tray  
5 gallery also extends through the deck of the first carriage, and is  
6 contiguous with and open to the adjoining pass-through openings 114.

7 The empty tray storage is also preferably provided with an empty  
8 tray storage roof panel 117 which extends over and protects the empty  
9 wafer trays from downwardly drifting contaminating particles. The roof  
10 panels are supported by first carriage rear section support panels 118.

11 The first carriage is further advantageously provided with a second  
12 carriage pedestal inlet opening 119 which allows a support pedestal of  
13 the second carriage to extend thereinto when the second carriage is  
14 moved forwardly.

15 Interface 43 also includes the second or upper carriage 102.  
16 Upper carriage 102 has an upper carriage deck 121 which is supported  
17 by a second carriage support pedestal 122. Pedestal 122 has a linear  
18 drive operator 123 which is advantageously in the form of a rotatable  
19 screw drive 124 which moves the second carriage forwardly and  
20 backwardly between retracted and extended positions.

21 The upper carriage is provided to function as a loaded tray  
22 holding or inventorying station. As shown, this function is accomplished  
23 by having the second carriage in a position above the first carriage, and  
24 provided with a series of loaded tray holders 125. Loaded tray holders

1      125 are formed as receptacle ledges formed in the deck. The  
2      receptacle ledges are adjacent to second carriage elevator openings 126.  
3      Openings 126 are preferably portal openings which have open entrances  
4      at the forward ends thereof. As shown, the upper carriage is  
5      configured to hold two groups, each group having four wafer trays in  
6      a four by two loaded wafer tray storage array.

7      Interface 43 also includes elevators 104 which have elevator rods  
8      or shafts 128 and enlarged elevator heads 129. The elevator heads are  
9      constructed to engage the lower surface 62 of wafer trays 60 in a  
10     stable manner. Most preferably the upper contacting face of elevator  
11     head 129 is provided with four engagement projections 130 at the front  
12     and back of the contacting face. The engagement projections are  
13     spaced and sized to fit within the longitudinal recesses 77 of trays 60  
14     adjacent the end panels. This provides positive engagement against  
15     lateral displacement of the trays relative to the elevator head during  
16     automated handling of the wafer trays by the interface.

17     Interface 43 is advantageously constructed to handle wafer carriers  
18     and wafer trays in groups or gangs of four at a time. Although this  
19     configuration is preferred, it is alternatively possible to have other gang  
20     sizes.

21

#### 22      Operation of Interface Section

23      The operation of interface 43 will now be described in connection  
24      with the series of drawings shown in Figs. 7-12. Fig. 7 shows the

1      interface moved from the fully retracted positions of Fig. 6 into an  
2      initial loading position wherein the first carriage has been extended fully  
3      to position the overhanging carrier shelf 101 through the interface port  
4      56. Fig. 7 also shows the carrier shelf loaded with four wafer carriers  
5      51 containing wafers 50. The carriers and wafers are positioned in the  
6      carrier support receptacle ledges 109 over the wafer transfer openings  
7      110. The second carriage 102 is maintained in the fully retracted  
8      position.

9      After the wafer carriers have been loaded onto shelf '01, the first  
10     carriage is retracted. When sufficiently retracted, the interface port  
11     door 59 is closed by extending the door upwardly. The first carriage  
12     continues to retract rearwardly until the elevator head 129 is aligned  
13     with the stored trays held in empty wafer tray storage arrays 115. At  
14     this tray pick position, the first carriage is stopped and the elevators  
15     are aligned below the stored wafer trays. The elevators are then  
16     extended upwardly to engage and lift the lowest empty trays from the  
17     four storage arrays. The elevators are then stopped and held at a tray  
18     lift elevation position.

19     The first carriage is then retracted further to bring the pass-  
20     through openings 114 into alignment with the elevators and elevated  
21     empty wafer trays positioned upon the heads of the elevators. At this  
22     pass-through position of the first carriage, the first carriage is stopped.  
23     The elevators 104 are then retracted downwardly to pass the empty

wafer trays through the deck of the first carriage. The empty trays are moved downwardly until they are below and clear of the first carriage.

The first carriage is then moved rearwardly from the pass-through position into a transfer position. In the transfer position the first carriage is positioned so that the elevators and empty wafer trays held thereon are aligned with the bottom opening of the wafer carriers held in carrier holders 109. Fig. 9 shows the first carriage in the first carriage transfer position.

Fig. 9 further illustrates the transfer of wafers from the wafer carriers 51 and their installation onto the wafer trays 60. In Fig. 9 the elevators have been extended upwardly after the first carriage has assumed the transfer position. The transfer includes aligning the individual wafer receivers 66 below the wafers 50 held in carriers 51. As the elevators extend upwardly, the tray moves up, into and through the open bottom of carriers 51. The edges of the wafers 50 are guided by the V-shaped receiver mouths having downwardly converging receiver side surfaces 67. The edges of wafers 50 are guided by the receiver mouths into the relatively close fitting receiver slots or channels 68. The edges of the wafers bear against the wafer slot bottom surfaces 69. The bearing allows the wafers to further be lifted upwardly by the elevating trays 60.

Fig. 9 shows the elevators fully extended with trays 60 fully elevated and with wafers 50 held in an aligned side-by-side array upon the trays. In this condition, interface 43 has transferred the wafers and

the loaded wafer trays are ready to be moved to the holding stations on second carriage 102. To accomplish this, the second carriage is extended outwardly and forwardly from the retracted position into an extended position, such as the fully extended position shown in Fig. 10. In this position the second carriage has been moved forwardly so as to align the rearward gang of loaded tray holding receptacles 125 with the elevated wafer trays. The elevators are then retracted downwardly to lower the loaded wafer trays into the receptacles 125. After the loaded trays have been received in receptacles 125, the second carriage can then be retracted rearwardly into a retracted holding position, such as shown in Fig. 11. Fig. 11 also shows the elevators 104 fully retracted and the first carriage retracted with empty wafer carriers 51 awaiting discharge from the interface section.

Fig. 12 shows the first carriage repositioned into a fully extended carrier unload position. This position is also the initial load position shown in Fig. 7. The empty wafer carriers are removed using suitable means, such as manual removal by a human operator (not shown). Loaded wafers are then loaded onto the overhanging shelf of the first carriage and the process illustrated by Figs. 7-12 is repeated for a second gang or group of carriers, wafers and trays. The second loading process differs only slightly from the process described above. One difference is that different trays are used from the empty tray storage magazines 115. Another difference is that the second gang of loaded trays are held in the outer or forward holding receptacles 125

1 instead of the rearward tray holders used by the first gang of wafer  
2 trays.

3

4 Processing Section

5 The processing section 44 of processor 40 will now be described  
6 in greater detail. As shown, processing section 44 includes three  
7 centrifugal processing stations 71-73. Each processing station includes  
8 a processing chamber bowl 131 which substantially encloses an internal  
9 processing chamber 132. A centrifugal processing enclosure door 134  
10 is mounted for controlled powered vertical motion between a closed  
11 upward position and a downwardly retracted open position. Preferred  
12 door constructions are shown in U.S. Patent No. 5,302,120, which is  
13 hereby incorporated by reference.

14 Within each processing chamber is a suitable rotor for receiving  
15 loaded wafer trays, such as rotor 133 detailed in Fig. 31. Fig. 32  
16 shows a front view of rotor 133 without a wafer tray loaded therem.  
17 Fig. 33 shows a front view similar to Fig. 32 with a loaded wafer tray  
18 positioned within the rotor. Rotor 133 is specially constructed to  
19 receive and appropriately engage wafer tray 60 using wafer tray  
20 engagement features as explained below. The resulting interlocking  
21 interengagement of the tray with the rotor substantially prevents  
22 dislodgement until appropriately removed.

23 Rotor 133 includes three principal ring pieces 141-143. The front  
24 ring 141 has a beveled rotor opening 149. The front, central and rear

rings are connected by connecting longitudinal bars 144 and 145. Upper longitudinal bars 144 are spaced from the wafer trays 60 and are provided with inwardly directed longitudinal bumpers 146. Adjacent the wafer tray receptacle 136 are three additional longitudinal bars 145. The inward edges of bars 145 serve to guide and support wafer trays 60 appropriately positioned within the wafer tray receptacle.

The wafer tray engagement features used in the wafer tray receptacle include a rotor tray receiving channel 136. The sides of receiving channel 136 include rotor tray engagement projections 137. The rotor tray engagement projections are shaped and sized to complement and be received along the tray side channels 81. However, the tray side channels are substantially higher than the engagement projects because the trays are loaded using a tray engagement tool 150 which inserts between the downward facing bearing surface 82 of the tray and the upward surface of rotor engagement projections 137. Additionally, the clearance is preferably sufficient so that engagement tines 184 can also pass through the available space during insertion into the rotor to retrieve a tray therefrom.

The wafer tray engagement features used in rotor tray receiving channel 136 also include opposing side receiving flutes 138. Flutes 138 receive the longitudinal side flanges 85 of tray 60 in relatively close fitting interengaging relationship. The bottom or foot surface 86 of tray 60 bears upon inwardly directed tray support surfaces 147 on the longitudinal bars 145. This advantageously occurs between both outer

1 support bars 145 with both side rails 76 of the tray, and along a  
2 central tray support bar 145 and the center longitudinal foundation bar  
3 75 of the tray. Central longitudinal bar 145 is advantageously provided  
4 with a bumper bar 148 (Fig. 32).

5 The processing stations are each independently driven by rotating  
6 assembly motors 153 and have other features of a centrifugal fluid  
7 processor as needed for the desired processing of that station.  
8 Additional details of a preferred construction of centrifugal processor are  
9 well-known or can be taken from the attached Appendix hereto.

10 The specific processing performed in processing stations 71-73 can  
11 each be different or of similar nature. Various liquid and gaseous  
12 processing steps can be used in various sequences. The processor is  
13 particularly advantageous in allowing a series of complex processes to  
14 be run serially in different processing chambers set up for very different  
15 chemical processing solutions. All the processing can be accomplished  
16 without human handling and in a highly controlled working space, thus  
17 reducing contamination and human operator handling time.

18 The processing section 44 also includes a processing section  
19 portion of working space 46. This portion of the working space is  
20 forward of processing stations 71-73 within the enclosure envelope 45.  
21 This processing section working space allows the tray conveyor described  
22 below to supply and remove loaded wafer trays to and from ~~the~~  
23 processing stations.

24

1      Conveyor

2      Processor 40 is advantageously provided with a mechanical wafer  
3      tray conveyor 140. Conveyor 140 will be described initially with  
4      reference to Figs. 13 and 14. The preferred conveyor includes a  
5      conveyor carriage or tram 156 and a mechanical arm assembly 157  
6      which is mounted on the tram. The tram moves the mechanical arm  
7      assembly along a defined tram travel path. The mechanical arm  
8      assembly moves the wafer trays 60 upwardly, downwardly, inwardly,  
9      outwardly, and adjusts the tilt within a range of available positions and  
10     orientations.

11     Tram 156 has a base 160 which connects with a base subassembly  
12     165 which forms part of the mechanical arm assembly (see Fig. 35).  
13     The complementary base parts 160 and 165 join to provide a combined  
14     base assembly which serves as a movable base for the mechanical arm  
15     assembly.

16     Tram 156 moves along a guide track 258 which defines the tram  
17     path along which the tram travels. The guide track is advantageously  
18     formed by upper and lower guide bars 158 and 159 which are mounted  
19     along the outward side of a track support member 161 forming part of  
20     the frame. This construction allows the mechanical arm assembly to  
21     extend into cantilevered positions to reach processing stations 71-73 with  
22     good positional stability. The guide bars are engaged by track followers  
23     in the form of linear bearings 171 which are secured to the inward  
24     face of the tram base 160. The linear bearings 171 are advantageously

1 provided with rod engaging rollers spaced at equal 120° arc positions  
2 about the guide bars 158 and 159.

3 The tram is powered along the defined path guide track by a  
4 suitable tram driver, such as a track magnetic drive in the form of  
5 linear magnetic motor 163. Linear magnetic motor 163 is most  
6 preferably a linear brushless direct current motor. Such a preferred  
7 tram driver uses a series of angled magnetic segments which  
8 magnetically interact with an electro-magnet on the base of the robotic  
9 conveyor to propel the tram and attached mechanical arm up and down  
10 the defined path track.

11 The path position of the base 160 along the guide track is  
12 precisely controlled using a positional indicating array (not shown)  
13 affixed to the front of the track support member adjacent to guide bars  
14 158 and 159. An optical emitter detector pair (not shown) are  
15 mounted upon base piece 160. The optical emitter detector pair serves  
16 as a track position sensor or indicator which reads the position of the  
17 tram base from the indicating array after proper calibration. The  
18 positional accuracy of the track position indicator is preferably in the  
19 range less than 0.003 inch (approximately less than 0.1 millimeter).

20 Fig. 35 shows the mechanical arm assembly 157 in a simplified  
21 form for purposes of illustrating and introducing the preferred  
22 construction. Mechanical arm assembly 157 is preferably of a type  
23 which can provide highly accurate positional stability and repeatability  
24 for precise control and movement of the loaded wafer trays which are

1 supported at the distal end of the mechanical arm. As shown,  
2 mechanical arm assembly 157 includes a base portion 165 which is  
3 secured to tram base piece 160. An upper arm assembly having two  
4 complementary upper arms 166 are mounted to pivot relative to the  
5 body portion 165. Upper arms 166 are preferably connected together  
6 so as to provide coincident angular movement using an upper arm  
7 connection member, such as torque tube 167. The upper arm assembly  
8 pivots with respect to the base about a shoulder pivot axis 168.

9 A forearm assembly is connected near the outer distal end of the  
10 upper arm assembly. The forearm assembly advantageously includes two  
11 forearms 172 which are joined by a forearm connection member 174.  
12 The forearm assembly also uses opposing face panels 173 (Fig. 15) to  
13 provide a strong and mechanically integrated forearm assembly which is  
14 resistant to twisting and provides a high degree of positional stability.  
15 The forearm assembly is connected to the upper arm assembly to allow  
16 relative pivotal movement about an elbow pivot axis 169.

17 The distal end portions of the forearm assembly support a hand  
18 assembly 176. Hand assembly 176 is supported in a manner allowing  
19 pivotal movement about a wrist pivot axis 170. The hand assembly  
20 includes two complementary hand bars 177. Hand bars 177 are joined  
21 together by a hand cross piece 178. The hand assembly also preferably  
22 includes a tray engagement tool 180 which is mounted to the hand  
23 cross piece 178.

Figs. 29, 30 and 35 show that the preferred tray engagement tool 180 includes a complementary pair of hand extensions 181. Hand extensions 181 are advantageously semi-cylindrical sections which form a cradle which engages the wafer tray 60. The hand extensions preferably engage the wafer tray along the side rails, such as along the outer side surfaces of the tray. More specifically, the hand extensions preferably are spaced to define a hand extension gap 182 having parallel inside engagement edges 183. Tool engagement edges 183 are received along the wafer tray side channels 81. The tool engagement edges are slid longitudinally along side channels 81 to position the tool for engagement with the wafer tray.

The ends of the hand extensions are preferably provided with end tines 184. When the hand extensions are lifted upwardly, the engagement edges bear upon the downward facing bearing surface 82 of the wafer side channels. Simultaneously therewith, tines 184 move upwardly to latch at the end of the wafer tray to prevent longitudinal slippage of the wafer tray upon the hand extensions. This latching places the tines along end surfaces of the wafer tray. The hand extensions can advantageously be provided with perforations 185 (partially shown in phantom in Fig. 35) to lighten the weight of the assembly.

The preferred construction of mechanical arm assembly 157 will now be described in greater detail with reference to Figs. 16-28 in addition to other Figs. of this application. Fig. 16 shows the

1 mechanical arm assembly connected to tram base 160 using base pieces  
2 165. A shoulder joint cover 313 is shown installed in Fig. 16.  
3 Similarly, an elbow joint cover 187 is shown installed about components  
4 of the elbow joint. Most of the hand assembly 176 is not included in  
5 Fig. 16 to simplify the drawing. Fig. 17 is similar to Fig. 16 except  
6 it shows how the mechanical arm 157 has been subdivided to allow  
7 enlargement and illustration of various details of the preferred  
8 construction. The detailed illustrations are sectional views presented in  
9 Figs. 18-28.

10 Figs. 18 and 19 show components associated with the wrist joint  
11 connection between the hand assembly and the forearm assembly. The  
12 preferred wrist joint construction includes two hand connection hubs 190  
13 which pivot to provide tilting action of the hand assembly. Hubs 190  
14 are either integrally connected to the hand bars 177 (as shown), or  
15 detachably connected thereto. Hubs 190 are pivotally supported relative  
16 to the forearm members 172 using hand hub support bearings 191.  
17 Bearings 191 are supported by forearms 172 against radial movement,  
18 and against longitudinal movement by capture between an outboard seal  
19 housing 192 and an inboard bearing mounting ring 193. Fasteners 194  
20 extend through ring 193, forearm 172, and into seal housing 192. Seal  
21 housing 192 holds a hub shaft seal 195.

22 Hubs 190 are limited within a defined range of angular motion  
23 using a wrist hub angular displacement limiter. The angular  
24 displacement limiter is advantageously in the form of an axial pin 197

which fits within a pin socket 198 formed in the hub 190. Pin 197 extends into an annular slot 199 formed in the outer face of the seal housing 192. The angular slot advantageously allows a range of motion of approximately 100-120° of arc.

The first hub 190 shown in Fig. 18 is connected to rotate with a hand drive pulley 200 using a shaft key 201 supported in associated keyways formed in the hub and pulley. A spacer ring 204 is between the inner race of bearing 191 and pulley 200. A pulley retainer 202 is fastened to the inboard end of the hub using a fastener 203 to keep the pulley against axial movement.

Pulley 200 has cable receiving grooves 205 formed in the periphery of the pulley. Hand drive cables 206 are received within the grooves 205. Pulley 200 is advantageously provided with a cable clamping block 207 which is set in the pulley within a recess. The cable clamping block has a clamp head 208 which squeezes down upon cables 206 when fasteners 209 are tightened.

Because of the need for highly accurate and repeatable positioning of the hand assembly, it has further been found desirable to provide independent bearing support for pulley 200. This can be accomplished using a pulley bearing 210. Pulley bearing 210 is supported by an intermediate spacer bar 211 which extends between the wrist joint and the elbow joint.

The pulley retainer 202 is advantageously formed with a pair of annular fins 213 and 214. Annular fin 213 can be moved into a

position within detector gap 215 of optical detector 216. Similarly, annular fin 214 can be moved into a position within detector gap 217 of optical detector 218. The optical detectors are mounted to the forearm cross member 174. Optical detectors 216 and 218 sense the outer bounds of desired angular travel of the hand assembly and serve effectively as limit switches providing signals to the controller operating the mechanical arm.

Fig. 19 shows that the second hub 190 is connected to an angular position encoder 220 which is mounted to cross member 174 using an encoder mount 221. The shaft of the position encoder is coupled to hub 190 using a bellows shaft coupling 222. Movements of the hand assembly relative to forearms 172 are detected with greater precision by encoder 220. A preferred encoder discriminates a 360° circle into approximately 64,000 divisions.

Figs. 22 and 23 show the elbow joint between forearms 172 and upper arms 166. The elbow joint pivots about elbow joint axis 169. Pivotal action between the upper arm assembly and forearm assembly is accommodated by two main elbow pivot bearings 225 and 226. First main elbow pivot bearing 225 is preferably a cross roller thrust bearing. Second main elbow pivot bearing 226 is preferably a ball bearing. The outer race of first main bearing 225 is connected to the upper arm 166 using a bearing support ring 227 and fasteners 228 which extend through apertures in upper arm 166. A similarly functioning bearing

support ring 229 and fasteners 230 are used in connecting second bearing 226 to upper arm 166.

Bearing 225 receives within its inner race a forearm mounting tube 233. Forearm 172 is secured to mounting tube 233 using fasteners 234. A proximate forearm cross brace 235 extends between and is connected to forearms 172 using fasteners 236. Mounting tube 233 is used to pivotally support a cable drive transfer shaft 240. Cable drive transfer shaft 240 is supported within mounting tube 233 using a pair of transfer shaft bearings 238. A tubular bearing spacer 239 extends between the inner races. Bearing retainer rings 241 and 242 are fastened to hold the bearings axially against the mounting tube 233.

The inboard end of transfer shaft 240 is advantageously formed as an elbow joint transfer pulley 243. Elbow joint transfer pulley 243 has parallel cable receiving grooves 244 and a cable mounting block 248 similar to wrist joint pulley 200. The cable mounting block 248 has a cable mount head 249 fastened to the pulley to secure cables 206. Cables 206 extend between pulleys 243 and 200 to effect movement of pulley 200 and the hand assembly.

Inboard transfer pulley 243 is driven by an outboard transfer pulley 250. Pulley 250 is fastened to transfer shaft 240 using fasteners 251. Cables 252 are looped around pulley 250 and hand drive transmission output pulley 255 at the shoulder pivot (see Fig. 26). Pulley 255 is driven by a hand drive electrical motor 256. The output of motor 256 is coupled to pulley 255 via a harmonic drive speed

reduction transmission 257. The frame of motor 256 is held securely to the base 165 of the mechanical arm. The reduced speed output from the harmonic drive controls the tilt of the hand assembly. This construction allows the tilt of hand assembly 176 to stay at a fixed attitude even though the upper and lower arms pivot. Thus the attitude of the hand assembly only changes when the hand drive motor 256 is controlled to drive.

Figs. 22 and 23 also show an elbow joint encoder 260. The casing of the encoder is mounted to the forearm assembly cross member 235 using an encoder mount 261. Encoder mount 261 includes an isolation mount band 262 to reduce vibratory transmission to the encoder. The shaft of the encoder is connected through a bellows coupling 263 to an upper arm encoder coupling shaft 264. The outboard end of shaft 264 is fixed to the upper arm 166 using a coupling shaft mount 265. Relative angular motion between the upper arm and the forearm is detected as relative angular motion between the encoder case and encoder shaft. This information is provided to the controller used to control mechanical arm 157. A partition plate 279 extends from mount 265 to help guide wiring (not shown).

Between encoder coupling shaft 264 and the tubular transfer shaft 240 is an assembly advantageously used to guide electrical wires. The transfer tube wire guide includes an inboard part 266, an outboard part 267, and an intermediate tubular member 268. Inboard and outboard

parts 266 and 267 have passageway apertures 269 through which wires are run.

Fig. 23 shows the forearm drive assembly 270. Drive assembly 270 includes a forearm drive motor 271 and a forearm drive speed reduction transmission 272. The outer housing of motor 271 is coupled to the forearm assembly. The shaft of motor 271 is connected to the transmission 272 which is preferably a harmonic drive providing 160:1 gear reduction. The third connection of the harmonic drive is coupled to the upper arm assembly via a harmonic drive coupling plate 273 using fasteners 274. The opposite, inboard, end of motor 271 is provided with a motor encoder 276 which indicates operation of the forearm motor 271 and provides a signal indicative thereof. A thumb wheel 277 is also advantageous provided to allow manual movement of the motor during maintenance and setup.

The elbow joint is also advantageously provided with an elbow joint limit switch 278 which is tripped when the relative position of the forearm reaches the limits of desired angular travel.

Figs. 26-28 show the shoulder joint which pivots about pivot axis 168. The shoulder joint provides for relative pivotal motion between the base pieces 165 and the upper arm assembly. Base pieces 165 are secured to tram carriage 160. Relative angular movement between base pieces 165 and the upper arms 166 is supported by main shoulder pivot bearings 281 and 282. First main bearing 281 is advantageously a cross

1      roller thrust bearing. Second main bearing 282 is advantageously a ball  
2      bearing.

3      The outer race of bearing 281 is secured to the base piece 165  
4      using a bearing retainer 283 which is fastened to base 165. The inner  
5      race of bearing 281 is coupled to an upper arm coupling ring 285.  
6      Coupling ring 285 is secured to the upper arm 166 using fasteners 286.  
7      A seal 287 is advantageous positioned between bearing retainer 283 and  
8      coupling ring 285. An inner race bearing retainer ring 288 is fastened  
9      to coupling ring using fasteners (not shown).

10     The housing of the hand drive motor 256 is mounted to the base  
11     piece 165 using bolts 290. The shaft 291 of motor 256 is connected  
12     to the harmonic drive transmission 257. The casing of the harmonic  
13     drive is captured between a pulley mounting ring 292 and the housing  
14     of motor 256. This fixes the position of the harmonic drive casing.  
15     The third or output connection of the harmonic drive is connected to  
16     a pulley drive piece 294 using fasteners 295. The pulley drive piece  
17     transmits torque from the output of the harmonic drive to hand motor  
18     pulley 255.

19     Pulley 255 is supported for pivotal movement upon mounting ring  
20     292 using bearings 296. Bearing 296 are held in axial position by a  
21     bearing retainer 297 fastened to mounting ring 292 by fasteners (not  
22     shown). The inboard end of motor shaft 291 is advantageously fitted  
23     with a thumb wheel 298 for manual manipulation of the motor during  
24     setup and maintenance. A motor encoder 299 is connected with motor

1        256 to provide motor response information to the mechanical arm  
2        controller which is used along with information from the hand angular  
3        position encoder 220 to provide precise control of the mechanical arm  
4        assembly.

5        Figs. 27 and 28 show the drive used to move the upper arm  
6        assembly relative to base pieces 165. The upper arm assembly drive  
7        includes an upper arm drive motor 301. Motor 301 has a shaft 302  
8        which drives a harmonic drive speed reduction transmission 304. The  
9        casing of the harmonic drive is coupled securely to the housing of  
10      motor 301, both of which are secured to base pieces 165. The flexible  
11      spline or third output 303 of the harmonic drive is coupled to an  
12      upper arm drive piece 305 using fasteners 306. Drive piece 305 is  
13      connected to an upper arm bearing ring 307 which is held by the  
14      second main bearing 282. This allows the bearing ring 307 and  
15      attached upper arm pieces to pivot. Seals 310 and 311 are  
16      advantageously included to seal the enclosed motor and transmission  
17      space to reduce contamination within the working space of the  
18      processor.

19        The inboard end of motor 301 is provided with a motor encoder  
20      315 and a thumb wheel 316. An upper arm drive position encoder 320  
21      is mounted with an isolation connector 321 to a support or mount 322  
22      which is connected to base ring 165. The shaft of the encoder is  
23      connected by a clamp 389 to an arm 388. Arm 388 connects to piece  
24      307 to indicate angular movement of the upper arm. A limit switch

325 is positioned to trip when the upper arm assembly extends to the limits of its desired angular travel range.

Upper arms 166 are also preferably provided with outer cover pieces 312 to enclose components of the upper arm assembly. The base is provided with a base cover cylinder 313 to partially confine and facilitate enclosing the internal space which holds includes the motors and transmissions.

## Control System

Fig. 34 shows a preferred control system used in processor 40. The control system advantageously uses a modular design which incorporate commercially available computer modules, such as 80486 based computers, to perform various functions. Fig. 34 shows the human operator interaction stations 331 and 332. The first station 331 has a computer processor 341 of conventional design and an electrically attached display and control panel 57. Control and display panel 57 is accessible from the front or clean room side of processor 40. The second control station 332 has a computer processor 342 also of conventional design and an electrically attached display and control panel 343 which is available for operation on the gray room or back side of processor 40. Both control stations are connected using a standard network interface hub 350. Network hub 350 is connected to a central controller, such as a computer file server 351. Hub 350 can also be used to connect an outside control or monitoring station 360 for

additional control capabilities, data acquisition, or monitoring of processing and control functions.

Hub 350 is further connected to processor control modules 361-363, which are also conventional computers without displays. Processor station control modules 361-363 are each associated with processing stations 71-73 respectively. These station control modules allow independent processing routines to be run at the processing stations and for data to be recorded indicating the processing performed in each particular batch being run by each processing station.

Processing station control modules are connected to and interact with the processing station motors, plumbing, etc which are collectively identified with the processing station number 71-73 in Fig. 34.

Fig. 34 further shows an interface subsystem controller 381, which again is a computer. Interface subsystem controller 381 is electrically connected to various features of the interface subsystem to both control operation and receive confirmatory signals of movements and position. The interface controller 381 is preferably connected to the interface section to receive signals through a number of optical fibers 386 used to convey signals from positional encoders for the first and second carriages 382, limit switches 383 which detect the limit of travel of the carriages and elevators, and wafer detectors 384 which detect wafer trays and wafer carriages held in the interface section. The system is preferably constructed so that most or all sensed signals used in the control and operation of the interface are communicated by optical fiber

1 to eliminate the risk of cross talk between signal lines. The optical  
2 fiber transmitted signals are converted into electronic signals by an  
3 optical fiber signal converter 387 which produces electronic signals which  
4 are communicated to computer 381.

5 Fig. 34 still further shows a conveyor control module in the form  
6 of a computer 391 without display which is electrically connected to  
7 various parts of the conveyor, such as the mechanical arm motors 256,  
8 271 and 301, encoder 220, and other components thereof not specifically  
9 illustrated.

10 The conveyor control module also preferably receives a number  
11 of signals through optical fibers 396. Optical fibers 396 are used to  
12 convey signals from angular position encoders and motor encoders for  
13 the conveyor 140 which are for simplicity exemplified by encoder 220  
14 in Fig. 34. Limit switches for the conveyor are exemplified by limit  
15 switch 278 in Fig. 34. Hall effect sensors 395 are used in sensing  
16 operation of the motors of the conveyor. The system is preferably  
17 constructed so that all sensed signals used in the control and operation  
18 of the conveyor are communicated by optical fiber to eliminate the risk  
19 of cross talk between signal lines and provide a smaller cable bundle  
20 which is moved in connection with tram motion up and down the track.  
21 The optical fiber transmitted signals are converted into electronic signals  
22 by an optical fiber signal converter 397 which is connected to reconvey  
23 the signals to computer 391.

1      Alternative System Configurations

2      Fig. 36 shows an alternative configuration of processor 400  
3      constructed and capable of operation according to this invention.  
4      Processor 400 includes two interface sections 401 and 402, which are  
5      advantageously mounted upon opposing ends of the processing system.  
6      Interface sections 401 and 402 are substantially or identically the same  
7      as interface section 43 described above. Between the interface sections  
8      are a series of processing stations 411-415. Stations 411-413 and 415  
9      are similar to centrifugal processing stations 71-73. Processing station  
10     414 is of an alternative design which will be more fully described  
11     below. Other alternative constructions are also possible.

12     Processor 400 has a processing enclosure 430 which in part  
13     defines an interior working space 431 adjacent to the processing stations  
14     and including the interface spaces accessible to the wafers being  
15     processed.

16     Processor 400 can be operated to load wafer carriers at first  
17     interface station 401 and remove processed wafers in wafer carriers at  
18     second interface station 402. Alternatively, each interface station can  
19     both load and return processed wafers through their associated interface  
20     ports.

21     The alternative wafer submersion processing station 414 is shown  
22     in greater detail in Figs. 37-39. Submersion or immersion processing  
23     station 414 includes two processing bath or immersion tanks 501 and  
24     502 which are in front and back, respectively. Tanks 501 and 502 are

1 used to hold processing liquids, such as acids and water. Tanks 501  
2 and 502 advantageously have enlarged brims 513. Station 414 includes  
3 a frame extension 505 which is a vertical member which mounts a  
4 wafer tray dipping mechanism or dipper 507.

5 Dipper 507 includes a movable dipper arm 508 which moves  
6 within a channel 504 using a dipper drive (not shown). The dipper  
7 drive is preferably an X-Y (two-dimensional) electrical servo-motor  
8 powered positioning drive. Alternatively, two linear electrical servo-  
9 motor powered drives can be coupled in a perpendicular configuration  
10 to allow the horizontal and vertical motion needed to traverse the  
11 channel 504. The dipper drive is advantageously enclosed within frame  
12 extension 505.

13 The dipper mechanism also preferably includes a dip head 509  
14 which, as shown, is suspended from dipper arm 508. Dip head 509  
15 advantageously includes a dip head connector 512 which is connected to  
16 the dipper arm 508. The dip head connector is also connected to ~~a~~  
17 longitudinal top member 522. Longitudinal member 522 forms part of  
18 a dipping mechanism tray holder 514.

19 The dipping mechanism tray holder 514 also preferably has a  
20 basket which is open, perforated or otherwise foraminous in construction  
21 so as to allow liquid movement about the wafers 50 being processed.  
22 As shown the basket includes a series of circumferential rings ~~521~~  
23 Rings 521 are connected by a top bar 522 which is suspended from  
24 connector 512. The lower portions of the rings are connected by lower

longitudinal members 523 and a basket bottom piece 524 which is preferably perforated. The lower longitudinal members and bottom piece are preferably formed and spaced to provide a tray receptacle for receiving and holding wafer tray 60. The tray receptacle is similar in design to the construction employed in rotor 133, and the description will not be repeated. The trays are slid into and retrieved from the tray holder using the mechanical arm.

Dipper 507 is shown in Fig. 37 with the movable subassembly of the dipper in a fully downward or submerged position in solid lines. In this submerged position the wafers held in the wafer tray and tray holder are capable of full insertion into tanks 501 or 502 to provide full immersion or submersion in a bath of processing fluid (not shown). Fig. 37 also shows in phantom lines the dipper movable assembly positioned in an upward retracted position. Partial immersion positions exist between a fully upward retracted position and the downward submersion position shown for rear tank 502 in Fig. 37.

In operation, wafers held upon trays 60 are inserted into the dipper basket and the mechanical arm tray holder is then retracted. The dipper arm is then moved into the desired position to achieve immersion of at least part, or more preferably full submersion, of the wafers and tray into the desired processing bath contained in either tank 501 or 502. The dipper driver can be controlled to jog the wafers either horizontally or vertically and provide agitation of the wafers within the bath of processing fluid. The wafers are left in the

desired immersion position until the desired processing has been accomplished. The wafers and associated tray are then moved by the dipper arm into an upward position wherein the processing chemical can drain back to the tank. Thereafter the movable dipper assembly is either held for removal of the wafers and trays, or moved into the second tank 501 or 502, as desired. The wafers are then processed in the second processing fluid until the desired processing has been completed. Thereafter the dipper arm is moved upwardly to withdraw the wafers from the processing bath and preferably left to drain briefly to conserve processing fluids. The wafer trays are then retrieved by the mechanical arm in a manner similar to that described above in connection with the retrieval of trays from rotor 133.

#### Operation and Methods

The operation and methodology of processor 40 has in part been explained above. Further description will now be given.

The invention further includes novel methods for processing semiconductor wafers and similar units requiring extremely low contamination. The methods can include providing a suitable processor, such as processor 40 described herein above and the associated subsystems thereof. Novel methods of processing such units preferably are performed by loading the wafers or other units to the system in carriers, such as wafer carriers 51. Such loading step is to a work space which is enclosed or substantially enclosed, such as working space

1      46. The loading step can include opening an enclosure door, such as  
2      door 59 of the interface port to allow entry of the wafers. The  
3      loading preferably is done by opening the enclosure door and extending  
4      a loading shelf through an open interface opening, such as port 56.  
5      Positioning of the loading shelf can be accomplished by moving the first  
6      carriage outwardly into an extended loading position.

7      The loading is further advantageously accomplished by depositing  
8      the wafers held within wafer carriers onto an extended loading shelf  
9      which is positioned through the interface opening. The wafers held in  
10     the carriers are positioned by depositing the loaded wafer carriers onto  
11     the extended shelf. The first carriage is thereafter moved such as by  
12     retracting the first carriage and the extended cantilevered shelf. After  
13     retracting the shelf through the interface port the methods  
14     advantageously include closing the interface port door or other similar  
15     enclosure door.

16     The methods also preferably include transferring wafers to a wafer  
17     tray, such as tray 60. Such transferring preferably is done by  
18     transferring the wafer from a wafer carrier and simultaneously onto the  
19     wafer tray. This is done by lifting the wafers from the wafer carrier  
20     using the wafer tray. The transferring is advantageously accomplished  
21     by extending the wafer tray through an opening in the wafer carrier,  
22     for example elevating the wafer tray up through a bottom opening in  
23     the wafer carrier to lift the wafers. The transferring preferably is  
24     accomplished using an array of wafer receivers, such as receivers 66.

1 The wafer receivers which receive the wafers are preferably spaced and  
2 parallel to allow the receivers of the tray to be extended to receive the  
3 wafers in an edgewise relationship. The receiving is most preferably  
4 done using receiving channels having converging side surfaces which  
5 perform a guiding function as the tray and wafers approach relative to  
6 one another. The receiving also advantageously includes positioning  
7 edges of the received wafers into receiver bottom sections 68 which  
8 includes positioning the edges into slots having spaced approximately  
9 parallel receiving slots with surfaces along marginal edge portions which  
10 hold the wafers in a spaced substantially parallel array.

11 The transferring also preferably includes extending, such as by  
12 lifting, the wafers received upon the wafer trays so as to clear the  
13 wafer free of the wafer carriers. This clearing of the wafers installed  
14 upon the trays completes the transferring of the wafers to perform an  
15 installing of the wafers onto the wafer trays.

16 The transferring and installing operations can in the preferred  
17 embodiment be preceded by storing wafer trays in a wafer tray storage  
18 area or array. The wafer trays can be stored by slipping the wafer  
19 trays into storage receptacles, such as upon storage support ledges 109.  
20 The storing can occur by vertically arraying the unloaded wafer trays.

21 The wafer trays held within the storage receptacles are also  
22 preferably removed by unloading therefrom. This unloading can  
23 advantageously be done by elevating or otherwise by extending a tray  
24 support, such as head 129 into proximity to and then engaging the head

1 with the tray. The extending can function by lifting the engaged head  
2 and then moving to dislocate the lifted tray from the storage area.  
3 This dislocating can most easily be accomplished by moving the storage  
4 area, such as by moving the second carriage 102, most preferably by  
5 retracting the carriage.

6 The steps preceding the transferring step can also advantageously  
7 include passing the engaged wafer tray through a pass-through opening  
8 in the first carriage. The passing-through step can be accomplished by  
9 lowering or retracting the engaged wafer trays through the pass-through  
10 opening and thus placing the wafer tray in a position suitable for  
11 performing the transferring. The passing-through most preferably  
12 includes aligning the engaged wafer tray with the pass-through opening.

13 The steps preceding the transferring and installing process also  
14 preferably include relatively moving the engaged wafer trays relative to  
15 the wafer carriers to bring the engaged wafer trays into aligned  
16 position. This aligning step is most ideally done by retracting  
17 otherwise moving the first carriage rearwardly until the wafer carrier  
18 opening and engaged wafer tray are aligned for transfer and installation.

19 After the transferring or other installing of the wafers onto the  
20 wafer trays, the loaded wafer trays are preferably inventoried, such as  
21 by holding upon the second carriage. This storing is in the preferred  
22 embodiments done by extending or otherwise moving the second carriage  
23 or other loaded tray storage relative to the loaded wafer trays. The  
24 loaded wafer trays can be stored by positioning them over a holding

1 features such as holding receptacles 125. The positioning can be  
2 followed by lowering the wafer trays into the holders and then  
3 supporting the wafer trays by the wafer holders.

4 The loaded wafer trays can then be processed further by loading  
5 the wafer tray onto a wafer conveyor, such as conveyor 140. The  
6 loading onto the conveyor can be done by moving a wafer tray  
7 engagement tool into engagement with the tray. This engaging step is  
8 most preferably done by sliding portions of the wafer engagement tool  
9 along receiving features of the wafer tray, such as by sliding the  
10 engagement edges 183 along receiving channels 83 of the tray, most  
11 preferably along opposing sides of the wafer tray. The engaging can  
12 further be perfected by lifting or otherwise interengaging the wafer tray  
13 engagement tool with the wafer tray being moved. This is most  
14 preferably done by lifting the tool relative to the tray and thereby  
15 positioning a longitudinal engagement feature, such as tines 184, against  
16 a complementary surface of the tray so that longitudinal or other lateral  
17 displacement of the tray upon the tool does not occur due to  
18 movement.

19 The methods also preferably include moving the wafer trays to  
20 one or more processing stations. The moving can be done by trammimg  
21 the loaded wafer tray along a defined guide track upon a movable  
22 tram. The moving or conveying step can also include horizontally  
23 positioning the wafer tray, and vertically positioning the wafer tray, and  
24 orienting the angular orientation of the wafer tray to enable the wafer

tray to be positioned into a processing chamber. This functioning is preferably followed by loading the wafer tray into the processing chamber. This loading can be done by inserting the loaded wafer tray into a centrifugal wafer tray rotor. The inserting or other loading step can best be accomplished by sliding the loaded wafer tray into an engaged relationship with the rotor by receiving interengaging parts of the rotor and wafer tray.

The wafers which were inserted or otherwise installed into the processing chamber are then preferably further treated by processing with fluid processing materials, such as chemical processing fluids, liquid or gas; or heating, cooling or drying fluids, most typically gases.

The processing can also advantageously be centrifugal processing which involves rotating or otherwise spinning the wafers being processed, particularly when still installed upon the wafer trays. The spinning preferably occurs with the wafers positioned within a rotor which performs a restraining function keeping the wafers in an aligned array centered near the axis of rotation. The centrifugal processing can include a variety of spinning, spraying, rinsing and drying phases as desired for the particular articles being processed. Additional preferred processing parameters are included in the appendix hereto.

The processing can also include immersion processing, such as can be performed by the immersion processing station 414 described above. Immersion station 414 or other suitable station can perform processes which include positioning a dipper so as to allow installation of a

1 loaded wafer tray thereon. As shown, this is done by raising the  
2 dipper arm upwardly and positioning the wafer holding basket with an  
3 open side forwardly. The mechanical arm can then function by  
4 inserting or otherwise installing or loading the basket with an open  
5 receiver for accepting the loaded wafer tray. After insertion and  
6 loading of the wafer tray onto the dipper movable assembly, then the  
7 dipper arm is used by moving the held wafers on the trays so as to  
8 process the wafers in the desired immersion tank. This dipping or  
9 immersing operation is preferably a submersing step which places the  
10 entire tray of wafers into the bath of processing chemical. Thereafter  
11 the wafers are processed by holding the wafers in the desired immersion  
12 position and conduction any monitoring desired while performing the  
13 bath processing.

14 The immersion processing methods can further include withdrawing  
15 the bathed wafers, such as by lifting the dipping arm upwardly. The  
16 wafer holding head is then preferably removed from the bath and is  
17 held in a draining condition to allow processing liquids to drain back  
18 into the bath from whence they were removed. The immersion  
19 processing can then be repeated for the second or other subsequent  
20 processing bath. After the bathing processes have been finished at any  
21 particular station then the mechanical arm is used by unloading the  
22 wafer trays from the dippers and the loaded wafer trays are moved to  
23 the next desired processing station.

1       The methods of this invention also include unloading the wafer  
2       trays from the processing stations, such as by engaging the loaded wafer  
3       trays with a tray engagement tool in processes similar to those discussed  
4       above. The engaged and loaded wafer tray is then preferably processed  
5       by relocating the wafer tray to a second processing station, such as by  
6       conveying by moving with the mechanical arm assembly. The relocating  
7       can include withdrawing the wafer tray from the processing chamber and  
8       moving to another processing chamber and installing the wafer tray  
9       therein. The processing can then be furthered using a processing  
10      sequence similar to that described or in other processing steps desired.

11      The wafer trays are also handled by conveying the wafer trays  
12      and supported wafers to a holding station and holding the wafers  
13      thereat. The holding awaits an interface unloading sequence which can  
14      be accomplished by transferring the wafer trays and supported wafers  
15      from the wafer trays back to wafer carriers. The transferring or  
16      retransferring step back to the wafer carriers is essentially a reverse of  
17      the transferring and installing steps described above. Such  
18      advantageously includes unloading the wafer trays from the holding area,  
19      such as by lifting loaded wafer trays from the holding receptacles. The  
20      lifting or other removing of the wafer trays from the holders is  
21      advantageously done by extending an elevator head through an aligned  
22      wafer carrier and elevating the wafer trays. The holders are then  
23      moved in a relative fashion from the lifted or otherwise supported wafer  
24      trays. This is advantageously done by moving the second wafer

carriage, such as by retracting the wafer carriage rearwardly away from the supported wafer trays. The relative moving of the removed loaded wafer trays and holders allows the wafer trays to be lowered or otherwise retracted. The retracting is best performed by lowering the wafer tray downward after aligning the wafer tray with a wafer carrier. The lowering causes a transferring of wafers from the wafer trays onto the wafer carrier.

The methods also preferably include retracting the elevators downwardly and beneath the first carriage with the supported and now unloaded wafer trays thereon. The first carriage can then be moved into the pass-through position by aligning the empty wafer tray with the pass-through opening. The empty trays can then be extended, such as upwardly, through the pass-through opening.

The methods then preferably include moving the transferred wafers held in the wafer carriers into an extended unloading position through the interface port. This is advantageously done by moving the first carriage forwardly and extending the cantilevered shelf out through the interface port.

The moving of the first carriage forwardly to accomplish unloading, can also be used to perform a storing function for the empty wafer trays into the empty wafer storage array. This is preferably done by elevating the wafer trays into an aligned storage position, such as at a desired aligned storage elevation and then moving the first carriage and attached storage gallery toward the engaged empty wafer tray.

Once installed the empty wafer tray can be lowered into a storage position. The empty wafer trays are preferably stored in a downwardly progressing fashion when the elevator is used.

The wafer carriers and associated processed wafers are taken from the processor by removing the loaded wafer carrier from the cantilevered shelf after such has been extended out through the interface port or other unloading passageway. This is typically done by manually grasping the wafer carrier with the processed wafers therein.

#### Alternative Embodiment Loading Subsystem

Figs. 40-49 illustrate an alternative preferred input and output loading subsystem 600 according to this invention. Fig. 40 in particular shows the important parts of this loading subsystem in isolation from other parts of a system otherwise similar to processing system 40. Figs. 41-49 show schematic representations of an alternative processing system otherwise similar to processor 40 which has been adapted to include loading subsystem 600. Various operational steps or phases are illustrated in Figs. 41-49.

Fig. 40 shows the preferred loading subsystem 600. Loading subsystem 600 is specifically adapted for unloading wafers held within a wafer container 602. Wafer container 602 is advantageously a sealed container having an upper or bonnet portion 604 and a base 603. Bonnet 604 is secured to base 603 in a sealed relationship. A handle 605 is advantageously included for convenience in carrying the container.

Container 602 also preferably includes a removable bottom panel 610 which is shown disconnected in Fig. 40. When fully assembled the wafer container 51 and enclosed wafers 50 rest on removable panel 610 and are held within container 602. Carrier 51 is shown in phantom lines in Fig. 40 when installed and supported in the fully closed position illustrated in Fig. 41.

Loading subsystem 600 also includes a container operator 608 which forms part of the docking station which receives the container 602. Container operator 608 includes a movable panel support 609 which is capable of moving upwardly and downwardly relative to the supporting framework 41. Fig. 40 shows the movable panel support 609 in a downward position with the detachable panel from the wafer container position thereon. In this position, wafer carrier 51 is available for removal or installation as will be more fully described hereinafter.

Fig. 40 also shows a wafer carrier loading subsystem relay mechanism 613. Relay mechanism 613 includes the relay arm 614. A lateral extension 615 extends from the distal end of arm 614 outwardly to support a relay engagement head 616. Relay engagement head 616 is adapted to mechanically engage with wafer carrier 51 for secure movement. Arm 614 is driven in a pivotal manner to swing from downwardly extending positions to laterally extending positions as shown in the figures. This is accomplished using a relay pivot drive 619 which is supported on a relay pivot drive support bracket 620. Relay

1 pivot drive 619 is advantageously a combined brushless direct current  
2 motor and harmonic drive speed reducing output unit which is connected  
3 to arm 614.

4 The relay engagement head 616 includes an engagement head  
5 frame 621. Engagement head frame 621 includes two opposing inwardly  
6 directed retaining catches or dogs 618 which catch beneath the upper  
7 lips or phalanges formed on the upper portion of wafer carrier 51. As  
8 shown, catches 618 are stationary elements which hold the end of the  
9 wafer carrier nearest the viewer in Fig. 40. The opposite end of wafer  
10 carrier 51 is held by two controllably movable catches 617. Catches  
11 617 are advantageously vacuum operated catches having an extendible  
12 locking pin (not shown). The locking pins are spring biased into a  
13 catching position and operated by vacuum operators contained within  
14 part 617 in order to release the locking pins. Catch dog 618 and  
15 controllable catch or latch assembly 617 allow the carrier 51 to be  
16 securely held by engagement head 616. Installation and ~~removal~~ of  
D-16 carrier 51 from engagement head 616 involves release of catches 617  
17 and small lengthwise displacement of carrier 51 relative to catches 618  
18 to allow engagement or disengagement of the carrier from the head.

19 Methods according to this invention will now be described in  
20 connection with the various unloading positions shown in Figs. 41-49.  
21 The methods include engaging a sealed wafer container, such as  
22 container 602, with the docking station, specifically by placement of base  
23 603 upon docking station operator 608. The container is positioned  
24

over the movable panel support 609. Fig. 41 shows container 602 properly located in the docking engagement position.

Fig. 41 also shows carrier loading relay 613 displaced a sufficient amount to allow the panel support piece 609 to extend downwardly from its upward closed position indicated in Fig. 41. Fig. 42 shows support member 609 moved downwardly with the removable panel 610 and wafer carrier 51 supported thereon on member 609. This opening of the sealed wafer container causes the interior of container 602 to be placed in fluid communication with the contained working space within enclosure 45. This opening process also includes opening an interface port which is provided by the movable panel support member 609. Member 609 is effectively the entrance and exit door between the docking station and the enclosed work space.

Movement of member 609 also effects moving of the wafers between the sealed container 602 and the enclosed work space. This is true in both the loading and unloading processes performed.

Preferred methods for loading according to this invention further include relaying or otherwise moving the wafers from the docking station to a transfer apparatus within the semiconductor processing system working area. Figs. 43-47 demonstrate this process. Fig. 43 shows relay 613 pivoted into an engagement position with head 616 engaged with wafer carrier 51 in preparation for movement by relay 613. Fig. 44 shows the relay arm pivoted and supported wafer carrier 51 partially relocated and removed from the support piece 609. As arm

1      614 pivots, the wafer carrier 51 is reoriented from an end-down  
2      position to a bottom-down position as best illustrated in Fig. 40.

3      Fig. 45 shows the wafer carrier in a position extended upwardly  
4      in preparation for relocation of the first carriage 100. First carriage  
5      shelf 101 is moved from the position of Fig. 45, forwardly to obtain a  
6      position beneath wafer carrier 51 to provide support therefor. Fig. 46  
7      shows first carriage 100 repositioned so that the first carriage support  
8      shelf 101 is beneath wafer carrier 51 in preparation for depositing the  
9      wafer carrier thereon.

10     Fig. 47 shows relay arm 614 lowered to deposit the wafer carrier  
11    upon shelf 101. Fig. 48 shows relay arm 614 pivoted into a  
12    downwardly retracted position. Fig. 49 shows wafer transfer robot 157  
13    in position to engage wafer carrier 51 for movement to a desired  
14    processing chamber. This is appropriate if the processing chambers are  
15    adapted to receive the carriers and enclosed wafers directly.  
16    Alternatively and more preferably, wafer carrier 51 can be subjected to  
17    the transfer process as described hereinabove wherein the wafers are  
18    taken from wafer carrier 51 and deposited onto wafer tray 60. The  
19    wafers and supporting tray are then processed as described above.

20     The invention also includes novel unloading processes which are  
21    the converse of the loading processes described above.

22     In compliance with the statute, the invention has been described  
23    in language more or less specific as to structural and methodical  
24    features. It is to be understood, however, that the invention is not

1 limited to the specific features shown and described, since the means  
2 herein disclosed comprise preferred forms of putting the invention into  
3 effect. The invention is, therefore, claimed in any of its forms or  
4 modifications within the proper scope of the appended claims  
5 appropriately interpreted in accordance with the doctrine of equivalents.

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